# Acute kidney injury early after left ventricular assist device implantation: incidence, risk factors and clinical consequences

# E. GÜNDÜZ

Department of Anesthesiology and Reanimation, Faculty of Medicine, Akdeniz University, Antalya, Turkey

**Abstract.** – **OBJECTIVE:** Renal dysfunction or renal failure is a common complication in patients undergoing a left ventricular assist device (LVAD). Measurement of serum creatinine and estimated glomerular filtration rate (eGFR) is the most commonly used inexpensive and easy method for the evaluation of kidney function. In studies, the development of acute kidney injury (AKI) after LVAD has generally been studied at 1, 3 months and 1 year, but there are almost no studies with 1-week data.

**PATIENTS AND METHODS:** We retrospectively analyzed the incidence of AKI, risk factors, length of stay in hospital and intensive care unit (ICU), and postoperative complications of 138 patients who underwent LVAD implantation in our center between 2012 and 2021, according to Kidney Disease Improving Global Outcomes (KDIGO) criteria. We evaluated the preoperative, postoperative 1<sup>st</sup> day, 2<sup>nd</sup> day, 1<sup>st</sup> week, 1<sup>st</sup> month, 3<sup>rd</sup> month and 1<sup>st</sup> year serum creatinine, eGFR and blood urea nitrogen (BUN) values.

**RESULTS:** The mean age of 138 patients who underwent LVAD implantation and evaluated the development of AKI was 50.4 (±10.86) and 119 (86.2%) were males.

The incidence of AKI, the need for renal replacement therapy (RRT) and dialysis after LVAD implant were respectively 25.4%, 25.3% and 12.3%.

According to the KDIGO criteria, in the AKI (+) patient group, 21 (15.2%) cases were identified as stage 1, 9 (6.5%) as stage 2 and 5 (3.6%) as stage 3.

The incidence of AKI was found to be high in cases with diabetes mellitus (DM), age, preoperative creatinine level ≥1.2, and eGFR ≤60 ml/min/m<sup>2</sup>.

There is a statistically significant relationship between having AKI and right ventricular (RV) failure (p=0.0033). Right ventricular failure developed in 10 (28.6%) of 35 patients who developed AKI.

**CONCLUSIONS:** If perioperative AKI is recognized early, the development of advanced stages of AKI and mortality can be reduced with nephroprotective measures.

## Key Words:

Left ventricular assist device, Akut kidney injury, Heart failure.

# Introduction

Left ventricular assist devices (LVAD) are of great importance in salvage, bridging to transplantation or permanent destination treatment of patients with acute or chronic end-stage heart failure. It is known that cardiac output increases with LVAD implantation, and kidney and liver functions are impaired secondary to hypoperfusion<sup>1,2</sup>.

However, LVAD implantation remains a life-threatening high-risk surgical procedure. Acute kidney injury (AKI) is a complication associated with high mortality and morbidity, seen in 15-45% after LVAD implantation. In addition, AKI has also been associated<sup>3</sup> with right heart failure, arrhythmia, and shortened life expectancy. In these cases, impaired renal perfusion may lead to a decrease in estimated glomerular filtration rate (eGFR) and failure. Despite scholars<sup>4</sup> reporting an increase in eGFR in the early period 1 month after LVAD, there are studies<sup>5</sup> showing the opposite in the literature. It has been emphasized<sup>6</sup> that the high creatinine and low eGFR in the preoperative period may impair postoperative renal function in these cases, tissue perfusion reduction due to possible hypotension in the perioperative period.

In studies<sup>4</sup>, the development of AKI after LVAD was generally studied at 1, 3 months, and 1 year, but there are almost no studies with week 1 data. Therefore, our study retrospectively analyzed the rate of AKI development after LVAD, risk factors, complications, relationship between renal replacement therapy (RRT) and dialysis, and mortality in a single center.

# **Patients and Methods**

This single center, retrospective database analysis included 264 consecutive patients with end-stage heart failure and LVAD implantation as a bridge to transplantation or destination therapy between January 2012 and 2021.

Exclusion criteria were age <18 years, heart transplantation, patients whose data could not be reached. Data were obtained from a computerized database and electronic patient records, which were systematically collected during follow-up.

Gender, age, body mass index (BMI), Euro-SCORE, Interagency Registry for Mechanically Assisted Circulatory Support (INTERMACS) profile and other comorbidities were evaluated from preoperative characteristics, cardiopulmonary bypass (CPB) duration from perioperative data, and hospital and intensive care stay durations and complications were examined as postoperative data.

Preoperative, postoperative 1<sup>st</sup> day, 2<sup>nd</sup> day, 1<sup>st</sup> week, 1<sup>st</sup> month, 3<sup>rd</sup> month and 1<sup>st</sup> year serum creatinine, eGFR and blood urea nitrogen (BUN) values were evaluated according to Kidney Disease Improving Global Outcomes (KDIGO) criteria. In addition, the presence of renal replacement therapy and the need for dialysis were investigated from the records.

The study protocol was approved by the Akdeniz University Faculty of Medicine Clinical Research Ethics Committee (approval number: KAEK-396). Written informed consent forms were obtained from each patient. The study was conducted in accordance with the Helsinki Declaration principles.

# Statistical Analysis

We summarized baseline characteristics of the study participants using descriptive statistics. We expressed normally distributed continuous variables as mean (standard deviation) and categorical variables as frequencies and proportions. We used Wilcoxon rank-sum and  $\chi^2$  tests to assess differences in demographics between those who developed AKI and those who did not. A Kaplan-Meier plot for cumulative survival was constructed to display mortality during LVAD support by different stages of AKI, with log-rank testing for significance, censored on LVAD implantation and end of follow-up. The changes in eGFR, BUN and serum creatinin over 12 months from preoperative to 365 days of LVAD support stratified by AKI and no AKI groups was displayed as a line graph. All tests were two-tailed, and differences were considered statistically significant at p-values < 0.05. Statistical analyses were performed using SAS version 9.4 (SAS Institute, Cary, NC, USA).

# Results

The mean age of 138 patients who underwent LVAD implantation between January 2012 and January 2021 and whose AKI development was evaluated was 50.4 (±10.86). 119 (86.2%) were males and 19 (13.8%) females. The demographic data of patients with AKI (+) and AKI (-) are shown in Table I. All patients were evaluated according to the KDIGO criteria, and 35 (25.4%) cases were found to have AKI. In the AKI (+) patient group, 21 (15.2%) cases were stage 1, 9 (6.5%) cases were stage 2 and 5 (3.6%) cases were stage 3 KDIGO. After the operation, RRT was required in 35 cases, and dialysis was required in 17 (12.3%) cases. Hypervolemia was observed in 11 (31.4%), anuria in 18 (51%), and acidosis in 15 (42.9%) patients who developed AKI and required dialysis (Table I).

In our study, AKI developed in 16 (45.7%) of 60 patients with INTERMACS 1, in 15 (42.9%) of 59 patients with INTERMACS 2, and in 4 (11.4%) of 19 patients with INTERMACS 3-4 (p>0.005).

A significant correlation was found between AKI status and age, preoperative serum creatine level, eGFR, and diabetes mellitus (DM) value (p=0.002, 0.0024, 0.0070, 0.0360, respectively). Statistically, the incidence of AKI development was found to be higher in patients with age, DM, creatinine level  $\geq$ 1.2, and eGFR  $\leq$ 60 ml/min/m<sup>2</sup>.

In our study, 21 of 32 (31%) patients with preoperative creatinine above 1.2 mg/dl developed postoperative AKI. Fourteen patients (66.7%) were stage 1, 4 patients (44.4%) were stage 2, and 3 patients (60%) were stage 3 KDIGO.

When the patients included in the study were examined in terms of postoperative complications, it was found that the number of complications was significantly higher in AKI (+) patients (p=0.0365) (Figure 1). There was no significant incidence difference between stroke, gastrointestinal bleeding, thrombosis, and driveline site infection in those who developed AKI. However, a significant correlation was found between AKI status and right ventricular (RV) failure (p=0.0033), and RV failure developed in 10 (28.6%) of 35 patients who developed AKI.

After surgery, the duration of the patients' stay in the intensive care unit (ICU) and hospital (p=0.0072and 0.0046, respectively) showed a significant difference in the AKI (+) and AKI (-) groups. The length of stay in the ICU was 12.3±10.5 days in the AKI (+) patient group, 25.9±9.9 days in the hospital, 7.7±2.88 days between the AKI (-) patient group, and 21.0±6.59 days in the hospital (Table I).

Table	I.	Patient	characterics	(n=138)	)
101010		1 autonit	onaracteries	11 150	

Characteristics	No AKI (n=103)	AKI (n=35)	<i>p</i> -value
Age (mean, SD), year	48.8±10.99	55.1±9.09	0.006
Sex, n (%)			
Male	88 (85.4%)	31 (88.6%)	0.630
Female	15 (14.6%)	4 (11.4%)	
Body mass index [kg/m <sup>2</sup> ] SD	27.9±4.41	28.1±4.67	
Comorbidities, n (%)			
Hypertension	44 (42.7%)	16 (45.7%)	0.757
DM	38 (36.9%)	20 (57.1%)	0.036
INTERMACS 1 [n, %]	44 (42.7%)	16 (45.7%)	0.968
INTERMACS 2 [n, %]	44 (42.7%)	15 (42.9%)	
INTERMACS 3, 4 [n, %]	15 (14.6%)	4 (11.4%)	
Device type			
HeartMate 2 (Abbott,	12 (11.6%)	7 (20%9)	0.075
Inc., Pleasanton, CA, USA)	15 (14.5%)	6 (17.1%)	
HeartMate 3 (Thoratec Corp.	76 (73.8%)	22 (62.9)	
Pleasanton, CA, USA) HeartWare			
(Medtronic, Inc., Framingham,			
MA, USA)			
LOS ICU (d) SD	7.7±2.88)	12.3±10.51	0.007
LOS hospital (d) SD	21.0±6.59	25.9±9.92	0.004
RRT n (%)	1 (1%)	35 (100%)	<.0001

DM: Diabetes mellitus; INTERMACS: Interagency Registry for Mechanically Assisted Circulatory Support; ICU: Intensive care unit; LOS: Length of stay; RRT: Renal replacement therapy.



Figure 1. Complication After LVAD implantation.



Figure 2. Average estimated glomerular filtration rate (eGFR) from before left ventricular assist device (LVAD) implantation to 12 months after implantation.

CPB duration was compared in AKI (+) and AKI (-) cases, and it was found that the pump duration was longer in the AKI (+) patient group than in the AKI (-) patient group, but there was no significant difference (p=0.06). While the mean duration was 90.3±33.5 minutes in the AKI (+) patient group, this time was 79.9±33.5 minutes in the AKI (-) patient group.

Preoperative, postoperative 1<sup>st</sup> day, 2<sup>nd</sup> day, 1<sup>st</sup> week, 1<sup>st</sup> month, and 1<sup>st</sup> year eGFR averages were found to show statistically significant differences between AKI (+) and AKI (-) patient groups (p=0.0004, 0.0030, 0.0025, 0.0001, 0.0030, 0.0001, respectively). eGFR values were significantly lower in patients with AKI (+) than in patients with AKI (-). The eGFR values of the AKI (+) patients were 66.3±27.64, 64.7±28.09, 67.9±31.35, 70.8±36.21, 71.2±31.05, and 58.2±25.00, respectively (Figure 2).

When the preoperative, postoperative  $1^{st}$  day,  $2^{nd}$  day,  $1^{st}$  week,  $1^{st}$  month, and  $1^{st}$  year BUN values of the patients with and without AKI were compared, the BUN values of the AKI (+) group were found to be significantly higher (p=0.0008, 0.0001, 0.0001, 0.0001, 0.0001, 0.0001) (Figure 3).

Preoperative, postoperative, 1<sup>st</sup> day, 2<sup>nd</sup> day, 1<sup>st</sup> week, 1<sup>st</sup> month, and 1<sup>st</sup> year creatinine levels of patients with and without AKI were compared. Those who had AKI (+) had significantly higher creatinine values (p=0.0012, 0.006, 0.0027, 0.001, 0.0001) (Figure 4).

The mortality rate showed significant differences between the AKI (+) and AKI (-) patient groups (p=0.0001). The 1<sup>st</sup> month and 1<sup>st</sup> year survival rates were 94.9% (131 of 138) and 82.6% (114 of 138), respectively. Among the patients who developed AKI, 13 (61%) were in KDIGO stage 1, 6 (66.7%) were in stage 2, and in stage 3, the mortality rate was 100%. Figure 5 illustrates the impact of AKI on LVAD implantation.

# Discussion

In this study, we found that AKI developed in approximately one-quarter of the 138 patients. All patients who developed AKI needed RRT, while half of them required dialysis during their hospitalization. After discharge, dialysis was required in 3 (8.6%) patients. In previous studies<sup>7,8</sup>, the incidence of AKI after LVAD was reported to be 11-45%.



Figure 3. Average BUN from before left ventricular assist device (LVAD) implantation to 12 months after implantation.



Figure 4. Average BUN from before left ventricular assist device (LVAD) implantation to 12 months after implantation.



Figure 5. Kaplan-Meier curves for cumulative survival during after LVAD implantation.

The incidence of RRT intervals in patients with acute kidney injury after LVAD ranges from 11% to 33%.

In a meta-analysis<sup>9</sup> of 63,663 patients, the rate of development of severe AKI requiring RRT after LVAD was 37% and 13%, respectively.

Renal dysfunction due to decreased forward flow or high back pressure is an expected outcome with progressive heart failure. Because LVAD implantation improves cardiac output and tissue perfusion by increasing forward flow, improvement in renal function after LVAD implantation is an expected outcome. Moreover, neurohormonal activation has been shown<sup>10</sup> to decrease particularly atrial natriuretic peptide, aldosterone, renin arginine, and vasopressin. However, Brisco et al<sup>7</sup> showed that eGFR levels initially improved after LVAD implantation in approximately half of the patients. This improvement lasted for only 1 month, after which eGFR values decreased again. Generally, these studies7 show that renal functions that improve immediately after LVAD implantation cannot maintain this function over a longer period.

One of the mechanisms involved in the worsening of renal function 1 month after LVAD implantation is associated with RV dysfunction. RV dysfunction has been attributed<sup>11</sup> to increased RV preload and decreased contractility to cope with the increased cardiac output after LVAD. In addition, previous studies<sup>12</sup> have shown that as a result of an increase in RV filling pressures that is not proportional to the left ventricular filling pressure, AKI may develop due to hypotension and to increased central venous pressure, decreased left ventricular filling, low device flow, and worsening of systemic perfusion. In our AKI (+) patients, the rate of development of complications was higher, especially right ventricular failure (28.6%), compared with those who did not develop complications. In the group with KDIGO stage 3, right ventricular failure developed in 4 (80%) patients. When RV insufficiency develops, treatments to correct hemodynamics to reduce RV afterload may prevent worsening renal function<sup>13</sup>.

In a study<sup>7</sup> conducted on 3,363 patients, it was concluded that pre-implant INTERMACS, an eGFR of  $60\pm35$  ml/min/1.73 m<sup>2</sup>, and a mean serum creatinine level of 1.4 mg/dl were important indicators of renal function. It has been reported<sup>5,14</sup> that each 1 mg/dl increase in serum creatinine concentration doubles the risk of death.

In the study by Yoshioka et al<sup>15</sup> it was reported that cases with INTERMACS scores of 1 and 2 had a higher risk of acute kidney injury. This finding is confirmed by our study. The incidence of developing AKI was increased approximately 4-fold in our patients with INTERMACS scores of 1 and 2 compared with those with INTER-MACS scores of 3 and 4.

In our study, the incidence of AKI development was found to be higher in patients with DM, age, preoperative creatinine level  $\geq$ 1.2 and eGFR  $\leq$ 60 ml/min/m<sup>2</sup> as important risk factors for AKI development following LVAD placement.

In a study<sup>4</sup> that analyzed 48 parameters and analyzed a single-center multivariate in an observational cohort of 131 subjects, there was an improvement in eGFR at 1 month after LVAD in young, non-DM, and subjects with low eGFR.

In our study, there was an increase in creatinine values on the 1<sup>st</sup> and 2<sup>nd</sup> day, especially in the 1<sup>st</sup>-week creatinine (p<0.0001), compared with preoperative creatinine values in patients with AKI (p<0.0001).

Iwashima et al<sup>16</sup> reported that the 2-week eGFR after implantation was a strong predictor of mortality and morbidity. Preoperative eGFR values are consistent with 1<sup>st</sup>-week and 1<sup>st</sup>-month values in patients with AKI. When the eGFR values on 1<sup>st</sup> and 2<sup>nd</sup> day, and 1<sup>st</sup> week immediately after LVAD implantation are examined, there were no or very few studies in the literature, which included cases with low eGFR. In the light of the data we obtained, we think that postoperative 2<sup>nd</sup>-day and 1<sup>st</sup>-week eGFR values after LVAD are early predictors of AKI development.

More complications were detected in AKI (+) cases, and in these cases, the duration of ICU (12.3 $\pm$ 10.5) and hospital stay (25.9 $\pm$ 9.9) were significantly longer than those who did not develop AKI. This is consistent with the studies in the literature<sup>17</sup>.

## Limitations

Limitations of our study include the fact that it was a retrospective study at a single center and that the number of patients decreased because they were unable to meet the criteria we sought in all patients. If the research is conducted in larger series, the results we obtained can be confirmed. The development of AKI after LVAD is an important complication with high mortality requiring RRT treatment or even dialysis. In the early stages of a procedure, it is important to take into account the factors that contribute to the development and severity of AKI, such as DM, age, preoperative creatinine level 1.22, and eGFR 60 ml/min/m<sup>2</sup>, and to develop a good preoperative approach.

The inclusion of biomarkers for earlier identification of renal dysfunction, along with eGFR, BUN, and creatinine values, will allow the early detection of renal dysfunction and reduce mortality and morbidity.

#### ORCID ID

Emel Gündüz: 0000-0002-0306-9770

#### **Conflict of Interest**

The authors declare that they have no conflict of interests.

#### **Ethics Approval**

This study was approved by the Akdeniz University Non-interventional Ethical Board.

#### **Informed Consent**

All patients provided written informed consent.

#### Funding

No funding was obtained for this study.

#### Availability of Data and Materials

Data are available upon request to the corresponding author.

#### References

- Pilarczyk K, Carstens H, Papathanasiou M, Luedike P, Koch A, Jakob H, Kamler M, Pizanis N. Prediction of acute kidney injury after left ventricular assist device implantation: Evaluation of clinical risk scores. Artif Organs 2020; 44: 162-173.
- Silver S, Jin Long J, Zheng Y, Goldstone A, Franz D, Chang T, Chertow G. Outcomes after left ventricular assist device implantation in patients with acute kidney injury. J Thorac Cardiovasc Surg 2020; 159: 477-486.
- 3) Ross DW, Stevens GR, Wanchoo R, Majure DT, Jauhar S, Fernandez HA, Merzkani M, Jhaveri KD. Majure D, Jauhar S, A. Fernandez H, Merzkani M, D. Jhaveri K. Left Ventricular Assist Devices and the Kidney. Clin J Am Soc Nephrol 2018; 13: 348-355.

- 4) Wettersten N, Estrella M, Brambatti M, Horiuchi Y, Adler E, Pretorius V, Murray PT, Shlipak M, Ix JH. Kidney Function Following Left Ventricular Assist Device Implantation: An Observational Cohort Study. Kidney Med 2021; 3: 378-385.
- 5) Muslem R, Kadir Caliskan K, Akin S, Sharma K, Gilotra NA, Constantinescu AA, Houston B, Whitman G, Tedford RJ, Hesselink DA, Bogers AJJC, Russell SD, Manintveld OC. Acute kidney injury and 1-year mortality after left ventricular assist device implantation. J Heart Lung Transplant 2018; 37: 116-123.
- R. Tromp T, Jonge N, Joles J. Left ventricular assist devices: a kidney's perspective. Heart Fail Rev 2015; 20: 519-532.
- 7) Brisco MA, Kimmel SE, Coca SG, Putt ME, Jessup M, Tang WW, Parikh CR, Testani JM. Prevalence and prognostic importance of changes in renal function after mechanical circulatory support. Circ Heart Fail 2014; 7: 68-75.
- Sandner SE, Zimpfer D, Zrunek P, Rajek A, Schima H, Dunkler D, Grimm M, Wolner E, Wieselthaler GM. Renal function and outcome after continuous flow left ventricular assist device implantation. Ann Thorac Surg 2009; 87: 1072-1078.
- 9) Thongprayoon C, Lertjitbanjong P, Cheungpasitporn W, Hansrivijit P, Fülöp T, Kovvuru K, Kanduri SR, Davis PW, Vallabhajosyula S, Bathini T, Watthanasuntorn K, Prasitlumkum N, Chokesuwattanaskul R, Ratanapo S, Mao MA, Kashani K. Ren Fail 2020; 42; 495-512.
- 10) Damman K, Valente MA, Voors AA, O'Connor CM, van Veldhuisen DJ, Hillege HL. Renal impairment, worsening renal function, and outcome in patients with heart failure: an updated meta-analysis. Eur Heart J 2014; 35: 455-469.

- Farrar DJ, Compton PG, Hershon JJ, Fonger JD, Hill JD. Right heart interaction with the mechanically assisted left heart. World J Surg. 1985;9:89– 102.
- 12) Lampert BC, Teuteberg JJ. Right ventricular failure after left ventricular assist devices. J Heart Lung Transplant. 2015; 34: 1123-1130.
- 13) Baxter RD, Tecson KM, Still S, G. Collier JD, Felius J, Joseph SM, Hall SA, Lima B. Predictors and impact of right heart failure severity following left ventricular assist device implantation. J Thorac Dis 2019; 11: 864-870.
- 14) Cowger J, Sundareswaran K, Rogers JG, Park SJ, Pagani FD, Bhat G, Jaski B, Farrar DJ, Slaughter MS . Predicting survival in patients receiving continuous flow left ventricular assist devices: the HeartMate II risk score. J Am Coll Cardiol 2013; 61: 313-321.
- 15) Yoshioka D, Takayama H, Colombo PC, Yuzefpolskaya M, Garan AR, Topkara VK, Han J, Kurlansky P, Naka Y, Takeda K. Changes in end-organ function in patients with prolonged continuousflow left ventricular assist device support. Ann Thorac Surg 2017; 103: 717-724.
- 16) Iwashima Y, Yanase M, Horio T, Seguchi O, Murata Y, Fujita T, Toda K, Kawano Y, Nakatani T. Serial changes in renal function as a prognostic indicator in advanced heart failure patients withleft ventricular assist system. Ann Thorac Surg 2012; 93: 816-823.
- 17) Harmon DM, Tecson KM, Lima B, D.G. Collier J, Shaikh AF, Still S, Baxter RD, Lew N, Thakur R, Felius J, Hall SA, Gonzalez-Stawinski GV, Joseph SM. Outcomes of Moderate-to-Severe Acute Kidney Injury following Left Ventricular Assist Device Implantation. Cardiorenal Med 2019; 9: 100-107.