

Is chronic obstructive pulmonary disease an independent predictor for adverse outcomes in coronavirus disease 2019 patients?

W.-W. XIAO¹, J. XU¹, L. SHI¹, Y.-D. WANG², H.-Y. YANG¹

¹Department of Epidemiology, School of Public Health, Zhengzhou University, Zhengzhou, China

²Department of Toxicology, Henan Center for Disease Control and Prevention, Zhengzhou, China

Abstract. – **OBJECTIVE:** This study aimed to investigate whether pre-existing chronic obstructive pulmonary disease (COPD) was an independent predictor for adverse outcomes in coronavirus disease 2019 (COVID-19) patients.

MATERIALS AND METHODS: We searched electronic databases, including PubMed, Web of Science, EMBASE, and Chinese National Knowledge Infrastructure (CNKI) to screen for eligible articles. A quantitative meta-analysis was performed on the basis of adjusted effect estimates.

RESULTS: We observed that COPD was significantly associated with an increased risk of adverse outcomes in COVID-19 patients, which is based on 18 studies with 26,075 cases reporting adjusted effect estimates (pooled effect = 1.53, 95% confidence interval (CI): 1.29-1.8; I^2 = 35.4%, random-effects model).

CONCLUSIONS: We found that pre-existing COPD was an independent risk factor for predicting the adverse outcomes in COVID-19 patients.

Key Words:

Coronavirus disease 2019, Chronic obstructive pulmonary disease, Adverse outcomes, Adjusted effect estimates.

papers have reported a significant association between COPD and severe COVID-19 using univariate analysis. However, this significant association did not exist in multivariate analysis²⁻⁴, suggesting that factors such as age, gender and pre-existing disorders may have significant effects on the association between COPD and adverse outcomes in COVID-19 patients. Therefore, the aim of this study was to clarify the association between pre-existing COPD and adverse outcomes in COVID-19 patients by performing a quantitative meta-analysis based on adjusted effect estimates.

Materials and Methods

We searched electronic databases, including PubMed, Web of Science, EMBASE, and Chinese National Knowledge Infrastructure (CNKI) to screen for eligible articles. The following keywords were used: “coronavirus disease 2019” OR “SARS-CoV-2” OR “2019 novel coronavirus” OR “2019-nCoV” OR “COVID-19” AND “chronic obstructive pulmonary disease” OR “COPD” (up to July 31, 2020). The articles were included if they reported adjusted effect estimates on the association of pre-existing COPD with adverse outcomes (severe, critical, and mortal) in COVID-19 patients. In addition, we screened the references of all articles to find potentially eligible papers. STATA 11.2 was used for all analyses. I^2 was used to assess heterogeneity between articles⁵. A fixed-effects model was used if there was no heterogeneity; otherwise, a random-effects model was selected. The sensitivity analysis was used to check the robustness of the results. Publication bias was assessed by Begg’s test and Egger’s test.

Introduction

Recently, Lippi et al¹ reported that pre-existing chronic obstructive pulmonary disease (COPD) was significantly associated with an increased risk of severe coronavirus disease 2019 (COVID-19) (odds ratio (OR) = 5.69, 95% confidence interval (CI): 2.49-13.00) by using a quantitative meta-analysis. However, the findings of Lippi et al¹ were based on unadjusted effect estimates. To the best of our knowledge, several

Table 1. Characteristics of the included studies.

Author	Country	Cases (n)	Age (years)	Male (%)	Study design	COPD (%)	Adjusted effect estimates (95% CI)	Confounders
Barman et al ²	Turkey	607	62.5 ± 14.3	334 (55)	R	73 (12)	OR 2.99 (0.34-25.96)	Age, hypertension, CAD, creatinine, uric acid, Glu, CRP, presence of cardiac injury, d-dimer
Chen et al ³	China	3309	62 (49-69)	1642 (49.6)	R	42 (1.3)	OR 1.72 (0.80-3.71)	Gender, age, comorbidity, days from onset to admission
Zhang et al ⁴	China	788	NA	407 (51.6)	R	3 (0.4)	OR 7.7 (0.8-75.6)	Age, gender, family cluster, time from illness onset to first hospital admission, symptoms, coexisting disorder
Wang et al ⁶	China	339	71 ± 8	166 (49)	R	21 (6.2)	HR 2.24 (1.115-4.497)	Age, CVD, cerebrovascular disease
Zhao et al ⁷	China	1000	61 (46-70)	466 (46.6)	R	23 (2.3)	HR 1.47 (0.627-3.481)	Age
Del Valle et al ⁸	USA	1268	63 (53-72)	787 (60.1)	P	44 (3.5)	HR 0.84 (0.33-2.16)	Cytokines, demographics, comorbidities, laboratory measurements
Cen et al ⁹	China	1007	61 (49-68)	493 (49.0)	P	46 (4.6)	HR 2.01 (1.38-2.926)	Age, gender, smoking, hypertension, DM, CAD, duration of anti-viral therapy
Guan et al ¹⁰	China	1590	48.9 ± 16.3	904 (57.3)	R	24 (1.5)	OR 2.681 (1.424-5.058)	Age, smoking status
Bravi et al ¹¹	Italy	1603	58.0 ± 20.9	758 (47.3)	R	69 (6)	OR 1.88 (1.32-2.7)	Gender, age, DM, hypertension, major CVD, cancer, renal disease
Magleby et al ¹²	USA	678	NA	514 (61.1)	R	41 (6)	OR 0.65 (0.23-1.28)	Age, race, CAD, CHF cerebrovascular disease, hypertension, days of symptoms prior to admission, symptoms upon presentation, initial chest x-ray findings, level of oxygen support within three hours of arrival to the ED
Shah et al ¹³	USA	552	63 (50-72)	218 (58.2)	R	47 (9)	OR 1.48 (0.65-3.34)	Age, BMI, gender, race, comorbidities, tobacco smoking
Arshad et al ¹⁴	USA	2541	64 (53-76)	1243 (48.9)	R	325 (12.8)	HR 1.202 (0.924-1.563)	HCQ alone, AZM alone, HCQ+AZM, age, gender, race, BMI, lung comorbidity, immunodeficiency comorbidity, cardiovascular comorbidity, CKD, hypertension, asthma, DM, percent O ₂ saturation, admitted to ICU, ventilator, given steroid, given tocilizumab

Table Continued

Table I. Characteristics of the included studies.

Author	Country	Cases (n)	Age (years)	Male (%)	Study design	COPD (%)	Adjusted effect estimates (95% CI)	Confounders
Gupta et al ¹⁵	USA	2215	62 (51-71)	1436 (64.8)	P	173 (7.8)	OR 1.39 (0.95-2.04)	Age, gender, race, hypertension, diabetes, BMI, CAD, CHF, current smoker, active cancer, ≤ 3 d from symptom onset to ICU day 1, lymphocyte count $< 1000/\mu\text{L}$ on ICU day 1, $\text{PaO}_2:\text{FiO}_2$ on ICU day 1, IMV support, shock on ICU day 1, coagulation component of SOFA score, liver component of SOFA score, renal component of SOFA score, No. of ICU beds
Grasselli et al ¹⁶	USA	3988	NA	3188 (79.9)	R	93 (2.3)	HR 1.68 (1.28-2.19)	Age, gender, respiratory support, hypertension, hypercholesterolemia, heart disease, type 2 diabetes, malignancy, ACE inhibitor therapy, ARB therapy, statin, diuretic, PEEP at admission, FiO_2 at admission, $\text{PaO}_2/\text{FiO}_2$ at admission
Atkins et al ¹⁷	UK	507	73.3 \pm 4.4	311 (61.3)	R	36 (11.6)	OR 1.91 (1.10-3.32)	Age, gender, ethnicity, education, baseline assessment centre, prevalent disease, prevalent conditions
Yao et al ¹⁸	USA	242	65 (53-77)	138 (57)	R	22 (9.1)	HR 0.86 (0.30-2.46)	Clinical characteristic, therapies received with significant between-group differences
van Gerwen et al ¹⁹	USA	3703	56.8 \pm 18.2	2049 (55.3)	R	172 (4.6)	OR 1.20 (0.82-1.75)	Age, gender, race, BMI, smoking status, hypertension, CAD, atrial fibrillation, CHF, PVD, CVA/TIA, dementia, diabetes, hypothyroidism, CKD, malignancy, asthma, prior VTE
Pinto et al ²⁰	Italy	138	NA	85 (61.6)	P	18 (13)	OR 0.38 (0.11-1.28)	Age, gender, metastatic disease, time since cancer diagnosis, at 40 days since hospital admission

All values are n (%), mean \pm SD (standard deviation) or median (interquartile range, IQR); NA, not available; P, prospective; R, retrospective; HR, hazard ratio; OR, odds ratio; CVD, cardiovascular disease; CKD, chronic kidney disease; DM, diabetes mellitus; CAD, coronary artery disease; CHF, congestive heart failure; CRP, C-reactive protein; Glu, glucose; BMI, body mass index; HCQ, hydroxychloroquine; AZM, azithromycin; ED, emergency department; ICU, intensive care unit; $\text{PaO}_2:\text{FiO}_2$, ratio of the PaO_2 over the fraction of inspired oxygen; IMV, invasive mechanical ventilation; SOFA, sequential organ failure assessment; ACE, angiotensin-converting enzyme; ARB, angiotensin receptor blocker; PEEP, positive end-expiratory pressure; FiO_2 , fraction of inspired oxygen; PaO_2 , arterial partial pressure of oxygen; PVD, peripheral vascular disease; CVA/TIA, cerebrovascular accident/transient ischemic attack; VTE, venous thromboembolism.

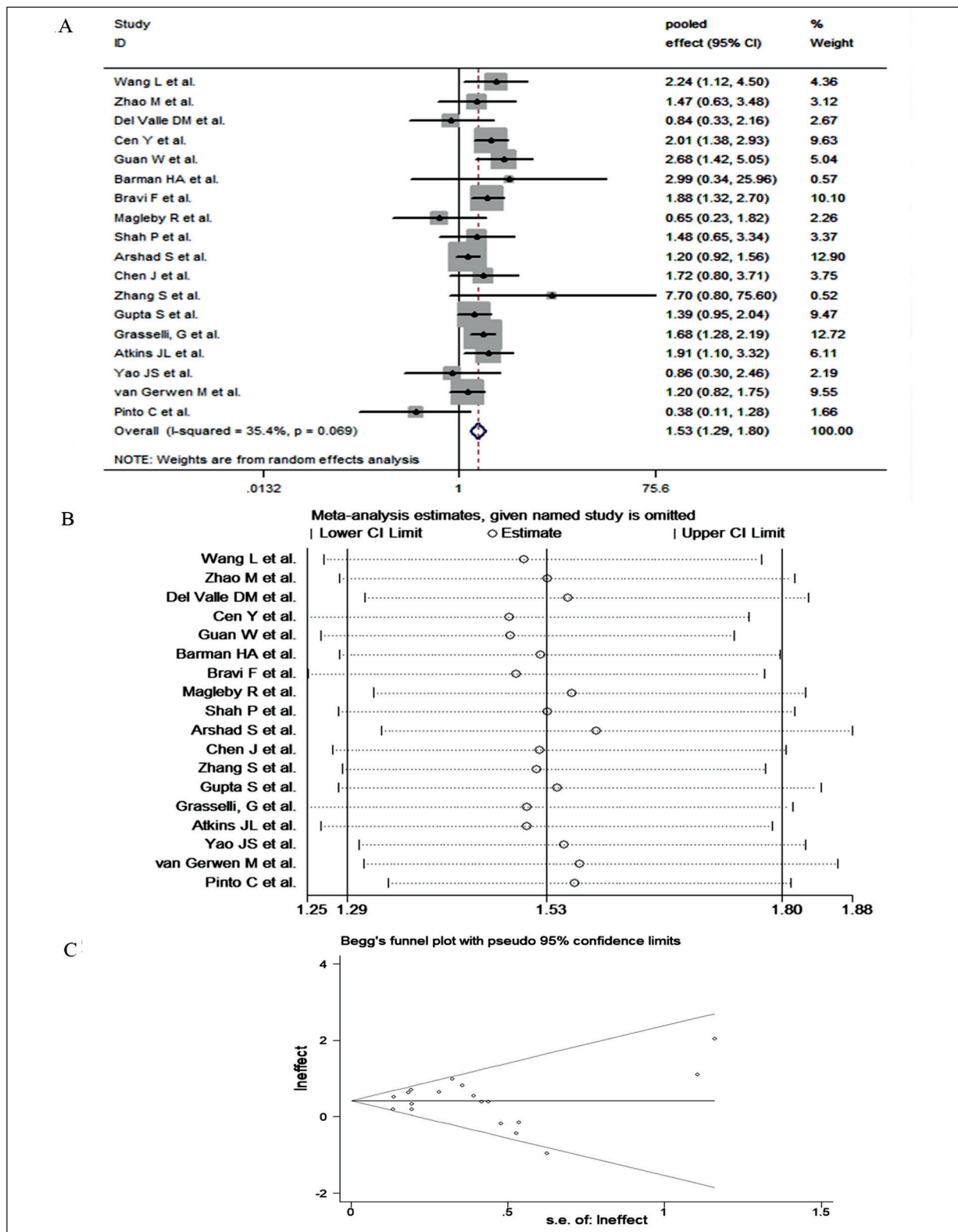


Figure 1. The pooled effects and 95% confidence interval (CI) of the relationship between COPD and adverse outcomes in COVID-19 patients (A); Sensitivity analysis of the relationship between COPD and adverse outcomes in COVID-19 patients (B); Publication bias was assessed by funnel plot (C).

Results

A total of 163 articles were reviewed. After carefully screening, 18 articles with 26,075 patients were enrolled in the study^{2-4,6-20}. 6 studies were from China, 8 were from the United States, 2 were from Italy, and one study was from UK and Turkey. The characteristics of the included studies are shown in Table I.

The results of our meta-analysis revealed that pre-existing COPD was significantly associated with an increased risk of adverse outcomes in COVID-19 patients based on 18 studies reporting adjusted effect estimates (pooled effect = 1.53, 95% CI: 1.29-1.8; $I^2 = 35.4\%$, random-effects model) (Figure 1A). As shown by the sensitivity analysis, none of the studies had a significant impact on the overall results, which means that the results were steady (Figure 1B). Also, there was no publication bias in our study (Begg's test, $p = 0.449$ and Egger's test, $p = 0.893$) (Figure 1C).

Discussion

Our findings indicated that pre-existing COPD was significantly associated with poor clinical outcomes among COVID-19 patients. Some studies²¹⁻²³ have reported that viral infections, especially respiratory virus infections, could lead to deterioration of the disease. Although COPD prevalence was low in COVID-19 cases, pre-existing COPD was associated with severity and mortality rates in COVID-19 patients²⁴. Also, older people with COPD had a higher risk of death²⁵. This suggests that COVID-19 patients with pre-existing COPD need more clinical attention to prevent the progression of the disease.

Some limitations in our study are: firstly, although this meta-analysis was based on adjusted effect estimates, the adjusted factors were not uniform among the included studies. Secondly, the judgment criteria of adverse outcomes in the included studies were not consistent in all the studies. Adverse outcomes included severe, critical and mortal outcomes in different studies. Thirdly, the primary treatment data for COVID-19 patients with pre-existing COPD are unknown. Thus, we could not evaluate the effects of clinical treatment on the association between COPD and COVID-19 patients with adverse outcomes. Considering these limitations, well-designed studies with larger sample sizes are needed to confirm our findings in the future.

Conclusions

For the first time, the results of our meta-analysis demonstrated that pre-existing COPD was an independent risk factor for predicting the adverse outcomes in COVID-19 patients.

Conflict of Interest

The Authors declare that they have no conflict of interests.

Funding

This study was supported by a grant from the National Natural Science Foundation of China (No. 81973105).

Acknowledgements

We would like to thank Dr. Oppong Timothy Bonney for his kind help in editing the English language of this manuscript.

References

- 1) LIPPI G, HENRY BM. Chronic obstructive pulmonary disease is associated with severe coronavirus disease 2019 (COVID-19). *Respir Med* 2020; 167: 105941.
- 2) BARMAN HA, ATICI A, SAHIN I, ALICI G, AKTAS TEKIN E, BAYCAN OF, OZTURK F, OFLAR E, TUGRUL S, YAVUZ MB, CELIK FB, OKTAY A, VAHABOGLU H, ADAS M, TURGUT N, OKUYAN E, YILDIRMAK MT, GUNGOR B. Prognostic significance of cardiac injury in COVID-19 patients with and without coronary artery disease. *Coron Artery Dis* 2020 Jun 19:10.1097/MCA.0000000000000914. doi: 10.1097/MCA.0000000000000914. Epub ahead of print.
- 3) CHEN J, BAI H, LIU J, CHEN G, LIAO Q, YANG J, WU P, WEI J, MA D, CHEN G, AI J, LI K. Distinct clinical characteristics and risk factors for mortality in female COVID-19 inpatients: a sex-stratified large-scale cohort study in Wuhan, China. *Clin Infect Dis*. 2020 Jul 8:ciaa920. doi: 10.1093/cid/ciaa920. Epub ahead of print.
- 4) ZHANG SY, LIAN JS, HU JH, ZHANG XL, LU YF, CAI H, GU JQ, YE CY, JIN CL, YU GD, JIA HY, ZHANG YM, SHENG JF, LI LJ, YANG YD. Clinical characteristics of different subtypes and risk factors for the severity of illness in patients with COVID-19 in Zhejiang, China. *Infect Dis Poverty* 2020; 9: 85.
- 5) SINGH AK, GILLIES CL, SINGH R, SINGH A, CHUDASAMA Y, COLES B, SEIDU S, ZACCARDI F, DAVIES MJ, KHUNTI K. Prevalence of comorbidities and their association with mortality in patients with COVID-19: a systematic review and meta-analysis. *Diabetes Obes Metab*. 2020 Jun 23:10.1111/dom.14124. doi: 10.1111/dom.14124. Epub ahead of print.

- 6) WANG L, HE W, YU X, HU D, BAO M, LIU H, ZHOU J, JIANG H. Coronavirus disease 2019 in elderly patients: characteristics and prognostic factors based on 4-week follow-up. *J Infect* 2020; 80: 639-645.
- 7) ZHAO M, WANG M, ZHANG J. Comparison of clinical characteristics and outcomes of patients with coronavirus disease 2019 at different ages. *Aging* 2020; 12: 10070-10086.
- 8) DEL VALLE DM, KIM SCHULZE S, HSIN HUI H, BECKMANN ND, NIRENBERG S, WANG B, LAVIN Y, SWARTZ T, MADDURI D, STOCK A, MARRON T, XIE H, PATEL MK, VAN OEKELLEN O, RAHMAN A, KOVATCH P, ABERG J, SCHATZ E, JAGANNATH S, MAZUMDAR M, CHARNEY A, FIRPO BETANCOURT A, MENDU DR, JHANG J, REICH D, SIGEL K, CORDON CARDO C, FELDMANN M, PAREKH S, MERAD M, GNJATIC S. An inflammatory cytokine signature helps predict COVID-19 severity and death. *medRxiv* 2020; doi: 10.1101/2020.05.28.20115758.
- 9) CEN Y, CHEN X, SHEN Y, ZHANG XH, LEI Y, XU C, JIANG WR, XU HT, CHEN Y, ZHU J, ZHANG LL, LIU YH. Risk factors for disease progression in patients with mild to moderate coronavirus disease 2019—a multi-centre observational study. *Clin Microbiol Infect* 2020; 26: 1242-1247.
- 10) GUAN WJ, LIANG WH, ZHAO Y, LIANG HR, CHEN ZS, LI YM, LIU XQ, CHEN RC, TANG CL, WANG T, OU CO, LI L, CHEN PY, SANG L, WANG W, LI JF, LI CC, OU LM, CHENG B, XIONG S, NI ZY, XIANG J, HU Y, LIU L, SHAN H, LEI CL, PENG YX, WEI L, LIU Y, HU YH, PENG P, WANG JM, LIU JY, CHEN Z, LI G, ZHENG ZJ, QIU SQ, LUO J, YE CJ, ZHU SY, CHENG LL, YE F, LI SY, ZHENG JP, ZHANG NF, ZHONG NS, HE JX, CHINA MEDICAL TREATMENT EXPERT GROUP FOR COVID. Comorbidity and its impact on 1590 patients with COVID-19 in China: a nationwide analysis. *Eur Respir J* 2020; 55: 2000547.
- 11) BRAVI F, FLACCO ME, CARRADORI T, VOLTA CA, COSENZA G, DE TOGNI A, ACUTI MARTELLUCCI C, PARRUTI G, MANTOVANI L, MANZOLI L. Predictors of severe or lethal COVID-19, including Angiotensin Converting Enzyme inhibitors and Angiotensin II Receptor Blockers, in a sample of infected Italian citizens. *PLoS One* 2020; 15: e0235248.
- 12) MAGLEBY R, WESTBLADE LF, TRZEBUCKI A, SIMON MS, RAJAN M, PARK J, GOYAL P, SAFFORD MM, SATLIN MJ. Impact of SARS-CoV-2 viral load on risk of intubation and mortality among hospitalized patients with coronavirus disease 2019. *Clin Infect Dis*. 2020 Jun 30:ciaa851. doi: 10.1093/cid/ciaa851. Epub ahead of print.
- 13) SHAH P, OWENS J, FRANKLIN J, MEHTA A, HEYMAN W, SEWELL W, HILL J, BARFIELD K, DOSHI R. Demographics, comorbidities and outcomes in hospitalized COVID-19 patients in rural southwest Georgia. *Ann Med* 2020; 52: 354-360.
- 14) ARSHAD S, KILGORE P, CHAUDHRY ZS, JACOBSEN G, WANG DD, HUISSING K, BRAR I, ALANGADEN GJ, RAMESH MS, MCKINNON JE, O'NEILL W, ZERVOS M, HENRY FORD COVID-TASK FORCE. Treatment with hydroxychloroquine, azithromycin, and combination in patients hospitalized with COVID-19. *Int J Infect Dis* 2020; 97: 396-403.
- 15) GUPTA S, HAYEK SS, WANG W, CHAN L, MATHEWS KS, MELAMED ML, BRENNER SK, LEONBERG-YOO A, SCHENCK EJ, RADBEL J, REISER J, BANSAL A, SRIVASTAVA A, ZHOU Y, SUTHERLAND A, GREEN A, SHEHATA AM, GOYAL N, VIJAYAN A, VELEZ JCO, SHAEFI S, PARIKH CR, ARUNTHAMAKUN J, ATHAVALE AM, FRIEDMAN AN, SHORT SAP, KIBBELAAR ZA, ABU OMAR S, ADMON AJ, DONNELLY JP, GERSHENGORN HB, HERNAN MA, SEMLER MW, LEAF DE, STOP-COVID INVESTIGATORS. Factors associated with death in critically ill patients with coronavirus disease 2019 in the US. *JAMA Intern Med*. 2020 Jul 15:e203596. doi: 10.1001/jamainternmed.2020.3596. Epub ahead of print.
- 16) GRASSELLI G, GRECO M, ZANELLA A, ALBANO G, ANTONELLI M, BELLANI G, BONANOMI E, CABRINI L, CARLESSO E, CASTELLI G, CATTANEO S, CEREDA D, COLOMBO S, COLUCCIO A, CRESCINI G, FORASTIERI MOLINARI A, FOTI G, FUMAGALLI R, IOTTI GA, LANGER T, LATRONICO N, LORINI FL, MOJOLI F, NATALINI G, PESSINA CM, RANIERI VM, RECH R, SCUDELLER L, ROSANO A, STORTI E, THOMPSON BT, TIRANI M, VILLANI PG, PESENTI A, CECCONI M, COVID-19 LOMBARDY ICU NETWORK. Risk factors associated with mortality among patients with COVID-19 in intensive care units in lombardy, Italy. *JAMA Intern Med* 2020 Jul; 180: 1-11.
- 17) ATKINS JL, MASOLI JAH, DELGADO J, PILLING LC, KUO CL, KUCHEL GA, MELZER D. Preexisting comorbidities predicting COVID-19 and mortality in the UK biobank community cohort. *J Gerontol A Biol Sci Med Sci*. 2020 Jul 20:glaa183. doi: 10.1093/gerona/glaa183. Epub ahead of print
- 18) YAO JS, PAGUIO JA, DEE EC, TAN HC, MOULICK A, MILAZZO C, JURADO J, DELLA PENNA N, CELI LA. The minimal effect of zinc on the survival of hospitalized patients with COVID-19: an observational study. *Chest* 2020; doi: 10.1016/j.chest.2020.06.082.
- 19) VAN GERWEN M, ALSEN M, LITTLE C, BARLOW J, GENDEN E, NAYMAGON L, TREMBLAY D. Risk factors and outcomes of COVID-19 in New York City; a retrospective cohort study. *J Med Virol*. 2020 Jul 24:10.1002/jmv.26337. doi: 10.1002/jmv.26337. Epub ahead of print.
- 20) PINTO C, BERSELLI A, MANGONE L, DAMATO A, IACHETTA F, FORACCHIA M, ZANELLI F, GERVAZI E, ROMAGNANI A, PRATI G, LUI S, VENTURELLI F, VICENTINI M, BESUTTI G, DE PALMA R, GIORGI ROSSI P. SARS-CoV-2 positive hospitalized cancer patients during the Italian outbreak: the cohort study in Reggio Emilia. *Biology (Basel)* 2020; 9: 181.
- 21) GREENBERG SB, ALLEN M, WILSON J, ATMAR RL. Respiratory viral infections in adults with and without chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 2000; 162: 167-173.
- 22) WARK PAB, TOOZE M, POWELL H, PARSONS K. Viral and bacterial infection in acute asthma and chronic obstructive pulmonary disease increases the risk of readmission. *Respirology* 2013; 18: 996-1002.

- 23) JAFARINEJAD H, MOGHOOFEI M, MOSTAFAEI S, SALIMIAN J, AZIMZADEH JAMALKANDI S, AHMADI A. World-wide prevalence of viral infection in AECOPD patients: a meta-analysis. *Microb Pathog* 2017; 113: 190-196.
- 24) ALQAHTANI JS, OYELADE T, ALDHAHIR AM, ALGHAMDI SM, ALMEHMADI M, ALQAHTANI AS, QUADERI S, MANDAL S, HURST JR. Prevalence, severity and mortality associated with COPD and smoking in patients with COVID-19: a rapid systematic review and meta-analysis. *PLoS One* 2020; 15: e0233147.
- 25) GROENEWEGEN KH, SCHOLS AM, WOUTERS EF. Mortality and mortality-related factors after hospitalization for acute exacerbation of COPD. *Chest* 2003; 124: 459-467.